

# Lecture 2: From Classical to Quantum Codes

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## 2.1 Block codes over finite fields

Recall:  $\mathbb{F}_q = \text{GF}(q)$  denotes a finite field with  $q = p^m$  ( $p$  prime,  $m \geq 1$ ) elements.

Block code  $\mathcal{C} \subseteq \mathbb{F}_q^n$ , i.e. subsets of sequences of length  $n$  over  $\mathbb{F}_q$ .

Additionally, we require that  $\mathcal{C}$  is closed under vector space operations, i.e.

$\forall x, y \in \mathcal{C} \forall \alpha, \beta \in \mathbb{F}_q: \alpha \cdot x + \beta \cdot y \in \mathcal{C}$   
 $\Rightarrow$  linear block code of length  $n$  over  $\mathbb{F}_q$   
As  $\mathcal{C}$  is closed under vector space operations, it is a subspace of the vector space  $\mathbb{F}_q^n$  of dimension  $k$ ,  $0 \leq k \leq n$

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$\Rightarrow$  The code  $\mathcal{C}$  is completely described by a basis of  $k$  linear independent vectors over  $\mathbb{F}_q$ , i.e. only  $k \times n$  elements over  $\mathbb{F}_q$  describe in total  $q^k$  vectors.

## 9.2 Generator matrix

A generator matrix for a linear block code  $\mathcal{C} = [n, k]_q$  of length  $n$  and dimension  $k$  is a  $k \times n$  matrix over  $\mathbb{F}_q$  of rank  $k$ , whose row span equals the code.

Encoding an information sequence  $i \in \mathbb{F}_q^k$  can be done by the linear mapping

$$i \mapsto i \cdot G = c$$

A generator matrix of the form  $G = [I \mid A]$  with an  $k \times k$  identity matrix  $I$  is called systematic as

$$i \mapsto (i, i \cdot A)$$

### 9.3 Parity check matrix

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A linear subspace  $C = [n, k] \in \mathbb{F}_q^n$  can also be described as the solution space of  $n-k$  linear independent equations.

A parity check matrix  $H$  is an  $(n-k) \times n$  matrix of full rank whose row-null space is the code.

Error syndrome:  $S := v \cdot H^t \in \mathbb{F}_q^{n-k}$   
for  $v \in \mathbb{F}_q^n$

By definition:  $c \in C \iff c \cdot H^t = 0$

Proposition: The error syndrome  $S = v \cdot H^t$  depends only on the error.

Assume that  $v = c + e$ ,  $c \in C$ ,  $e$  error  
 $v \cdot H^t = (c + e) \cdot H^t = c \cdot H^t + e \cdot H^t = e \cdot H^t$



## 2.4 Dual code

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Let  $C = [n, k]_q$  be a linear code of length  $n$ , dimension  $k$  over  $\mathbb{F}_q$ . Then the dual code

$C^\perp = [n, n-k]_q$  is a linear code of length  $n$ , dimension  $n-k$  given by

$$C^\perp = \left\{ v : v \in \mathbb{F}_q^n \mid v \cdot c = \sum_{i=1}^n v_i \cdot c_i = 0 \text{ for all } c \in C \right\}$$

If  $G$  and  $H$  are generator and parity check matrices for the code  $C$ , resp., then  $H$  and  $G$  are generator matrix and parity check matrix for the dual code  $C^\perp$ , i.e. the role of  $G$  and  $H$  is interchanged.

We have  $G \cdot H^t = 0$ .

$$c = i \cdot G \Rightarrow c \cdot H^t = i \cdot G \cdot H^t = 0$$

## 2.5 The minimum distance of a linear code

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$$d_{\min}(C) = \min \{ d_H(x, y) : x, y \in C \mid x \neq y \}$$

for linear codes

$$\begin{aligned} d_H(x, y) &= d_H(x - y, x - y) \\ &= d_H(x - y, 0) \\ &= \text{wt}_H(x - y) \end{aligned}$$

$$\begin{aligned} \Rightarrow d_{\min}(C) &= \min \{ \text{wt}_H(x) : x \in C \setminus \{0\} \} \\ &=: \text{wt}_H(C) \end{aligned}$$

bad news: Even for a linear code over  $\mathbb{F}_2$  given by a parity check matrix  $H \in \mathbb{F}_2^{(n-k) \times n}$  it is an NP complete problem to test whether there is a nonzero vector  $c \in C$  with Hamming weight  $\text{wt}_H(c) \leq w$ .

$$c \cdot H^t = 0 \text{ with } 0 < \text{wt}_H(c) \leq w$$

## Computing the minimum distance by linear algebra

Proposition: If any  $d-1$  columns in the parity check matrix  $H$  are linear independent, then the minimum distance of the code is at least  $d$ .

Proof: Let  $c$  be a non-zero codeword of weight  $\leq d-1$ , i.e.  $c_1, \dots, c_{d-1} \neq 0$ .

$c \cdot H^t = 0$  implies that

$$c_1 \cdot h^{(1)} + \dots + c_{d-1} \cdot h^{(d-1)} = 0$$

where  $h^{(j)}$  denotes the  $j$ th column of  $H$ .

$\Rightarrow$  contradiction to the assumption that any  $d-1$  columns of  $H$  are linearly independent.

### 8.6 Hamming codes

A code can correct a single error if the minimum distance is at least  $d=3$ . Then any two columns of  $H$  must be linearly independent, i.e. they are non-zero and not scalar multiples of each other.



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The parity check matrix of the Hamming code of order  $m$  over  $\mathbb{F}_q$  consists of all such vectors, i.e.

$$n = \frac{q^m - 1}{q - 1}$$

$$k = n - m$$

$$d = 3$$

Example:  $q = 2, m = 3$

$$C' = [7, 4, 3]_2 = [n, k, d]_q$$

$$H = \begin{bmatrix} 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 \end{bmatrix} = \left[ \underset{\substack{\uparrow \\ \text{binary expansion of } i}}{\text{bin}(i)} \right]_{i=1}^{2^3-1}$$

Let  $e_i = (0, \dots, 0, \underset{\substack{\uparrow \\ i}}{1}, 0, \dots, 0)$  be a vector of weight one.

$$\text{Then } s = e_i \cdot H^t = \text{bin}(i)$$

$\Rightarrow$  The error syndrome tells us the position of error.

Goal: Use classical binary linear block codes to construct quantum codes.

The states  $|c\rangle$ ,  $c \in C = [n, k, d]$  can be used to correct up to  $\lfloor \frac{d-1}{2} \rfloor$  bit-flip ( $\sigma_x$ ) errors, e.g.  $|000\rangle$ ,  $|111\rangle$  corresponding to the linear code  $C = [3, 1, 3]$  with  $G = [111]$

### 9.7 Lemma:

$$\sum_{c \in C} (-1)^{x \cdot c} = \begin{cases} |C| & \text{for } x \in C^\perp \\ 0 & \text{for } x \notin C^\perp \end{cases}$$

Proof:  $x \in C^\perp \Rightarrow x \cdot c = 0$  for all  $c \in C$

$$\Rightarrow \sum_{c \in C} (-1)^{x \cdot c} = \sum_{c \in C} 1 = |C|$$

$x \notin C^\perp \Rightarrow D^\perp = \langle C^\perp, x \rangle$

$$D \subset C'$$

$\Rightarrow C' = D \cup (D + c_0) \quad c_0 \cdot x = 1$

$$\sum_{c \in C'} (-1)^{x \cdot c} = \sum_{d \in D} (-1)^{x \cdot d} + \sum_{d \in D} (-1)^{x \cdot (d + c_0)} = 0$$



2.8 Let  $C$  be a linear code of length  $n$  over  $\mathbb{F}_2$  and let  $a, b \in \mathbb{F}_2^n$ .

Define the following quantum state:

$$|\psi\rangle := \frac{1}{\sqrt{|C|}} \sum_{c \in C} (-1)^{a \cdot c} |c + \underline{b}\rangle$$

Then the image of  $|\psi\rangle$  under the Hadamard transformation  $U_{2^n} = U^{\otimes n}$  where  $U = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$  is given by

$$U_{2^n} |\psi\rangle = \frac{(-1)^{a \cdot b}}{\sqrt{|C|}} \sum_{c \in C^\perp} (-1)^{\underline{b} \cdot c} |c + \underline{a}\rangle$$

Proof:  $U_{2^n} = \frac{1}{\sqrt{2^n}} \sum_{x, y \in \mathbb{F}_2^n} (-1)^{x \cdot y} |x\rangle \langle y|$

direct calculation using Lemma 2.7

## CSS code construction

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Independently developed by Andrew Steane and R. Calderbank & P. Shor.

2.9 Let  $C_1 = [n, k_1, d_1]$  and  $C_2 = [n, k_2, d_2]$  be linear binary codes of equal length and additionally we require that  $C_2^\perp \leq C_1$ .

As  $C_2^\perp$  is a subspace of  $C_1$ , we can write  $C_1$  as the disjoint union of translates of  $C_2^\perp$ , i.e.

$$C_1 = \bigcup_{w_i \in W} (C_2^\perp + w_i)$$

Then the CSS code given by  $C_1, C_2$  has basis states

$$|\varphi_i\rangle = \frac{1}{\sqrt{|C_2^\perp|}} \sum_{c \in C_2^\perp} |c + w_i\rangle.$$

$\Rightarrow$  for  $w_i \neq w_j$  ( $w_i - w_j \notin C_2^\perp$ ) we have

$$\langle \varphi_i | \varphi_j \rangle = 0$$

There are  $|W| = \frac{|C_1|}{|C_2|} = \frac{2^{k_1}}{2^{n-k_2}}$

$$= 2^{k_1+k_2-n}$$

mutually orthogonal basis states

notation:  $\mathcal{C} = ((n, 2^{k_1+k_2-n})) \subseteq (\mathbb{C}^2)^{\otimes n}$   
 $= [[n, k_1+k_2-n]]$

The CSS code can correct

up to  $\lfloor \frac{d_1-1}{2} \rfloor$  Spin-Flip ( $\sigma_x$ ) errors

and simultaneously

up to  $\lfloor \frac{d_2-1}{2} \rfloor$  sign-flip ( $\sigma_z$ ) errors

$\Rightarrow$  The code can correct up to  $\lfloor \frac{d-1}{2} \rfloor = t$

where  $d = \min(d_1, d_2)$  arbitrary Pauli errors  
 (and hence any general error up to weight  $t$ ).

$\Rightarrow$  The code has minimum distance (at least)  $d$

$$\mathcal{C} = [[n, k, d]]$$



## Sketch of a proof for CSS codes

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Up to a phase factor, any Pauli error can be written as

$$e = (\sigma_x^{e_{x,1}} \sigma_z^{e_{z,1}}) \otimes \dots \otimes (\sigma_x^{e_{x,n}} \sigma_z^{e_{z,n}})$$

i.e. two binary vectors  $e_x, e_z \in \mathbb{F}_2^n$  specify the error.

A general state of the CSS code:

$$|\psi\rangle = \sum_i \alpha_i |\psi_i\rangle$$

$$= \sum_i \tilde{\alpha}_i \sum_{c \in C_2^\perp} |c + w_i\rangle = \sum_{c \in C_1} \beta_c |c\rangle$$

↑  
As superpositions of  
codewords of  $C_1$ , we  
can correct up to  $\lfloor \frac{d_1-1}{2} \rfloor$   
 $\sigma_x$ -errors.

The Hadamard transformed basis states are

$$U_{2^n} |\psi_i\rangle = \frac{1}{\sqrt{C_2}} \sum_{c \in C_2} (-1)^{c \cdot w_i} |c\rangle$$

$\Rightarrow$  The Hadamard transformed general state of the CSS code is of the form

$$U_{2^n} |\psi\rangle = \sum_{c \in C_2} \gamma_c |c\rangle$$

$\uparrow$

As superposition of codewords of  $C_2$ ,  
we can correct up to  $\lfloor \frac{d_2-1}{2} \rfloor$

$\sigma_x$ -errors in the Hadamard transformed basis, i.e.  $\sigma_z$ -errors in the original basis.

It remains to show that  $\sigma_x$  and  $\sigma_z$  errors can be corrected independently.